

PTO/SB/08B (02-03)

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STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	10/632,361
Filing Date	08/01/2003
First Named Inventor	Ronald D. Rudkin
Art Unit	3691
Examiner Name	campen
Attorney Docket Number	004344-294-CIP

Sheet	1	of	2
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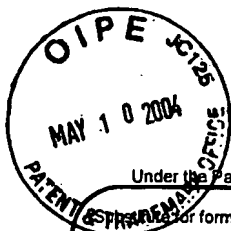
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IKC/	1	STEVEN HUDDART, Journal of Accounting and Economics 18 (1994) 207-231.	
	2	RICHARD A. LAMBERT et al., Journal of Accounting Research, 29 No. 1 Spring 1991, 129 - 149.	
	3	JEROLD B. WARNER et al., Journal of Financial Economics 20 (1988) 461-492, North Holland.	
	4	L. PETER JENNERGREN et al., The Accounting Review 68, No. 1, January 1993, 179-183.	
	5	RICHARD FRIEDMAN, What Are My Stock Options Worth?, <a href="http://www.mystockoptions.com">http://www.mystockoptions.com</a> .	
	6	BRIAN J. HALL et al., Optimal Exercise Prices for Executive Stock Options, December 1999, 1-18, Harvard NOM Working Paper No. 99-01.	
	7	MONDHER BELLALAH et al., The Financial Review, 30 No. 3 August 1995, 617-635.	
	8	JENNIFER N. CARPENTER, Journal of Financial Economics 48 (1998) 127-158.	
IKC/	9	MARK RUBINSTEIN, On The Accounting Valuation Of Employee Stock Option, Fall 1995, 8-24.	

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OTHER PRIOR ART–NON PATENT LITERATURE DOCUMENTS			
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/KCI/	10	NALIN KULATILAKA et al., Financial Analysts Journal, November-December 1994, 46-56.	
	11	BRIAN J. HALL et al., Stock Options for Undiversified Executives, June 2000, 1-63, Harvard NOM Research Paper No. 00-05.	
	12	JAMES A. BRICKLEY et al., Journal of Accounting and Economics 7 (1985) 115-129, North-Holland.	
	13	JEROME DETEMPLE et al., The Review of Financial Studies Special 1999, Vol. 12, No. 4, pp. 835-872	
	14	JOHN C. COX et al., Option Pricing: A Simplified Approach, Journal of Financial Economics v7n3 PP: 229-263 Sep 1979.	
/KCI/	15	CHARLES J. CUNY et al., Journal of Accounting and Economics 20 (1995) 193-205.	

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